

<b>FORM PTO-1449 (Modified)</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	<b>ATTY. DOCKET NO.</b> YOR9/2002/0007US1	<b>SERIAL NO.</b>
	<b>APPLICANT: YING-LI TIAN, ET AL</b>	
	<b>FILING DATE:</b> Herewith	<b>GROUP:</b>

JC978 U.S. PTO  
 10/05/1595  
 01/17/02

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS					
EXAMINER INITIALS			DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
SCC	AA		5,164,992	11/17/92	Turk, et al			
SCC	AB		5,410,609	4/25/95	Kado, et al			
	AC							
	AD							

FOREIGN PATENT DOCUMENTS								
			DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
								YES NO
	AE							

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

SCC	AF	Computer Recognition of Human Faces by Takeo Kanade, pp. 47-63
	AG	Manual for the Facial Action Coding System by Paul Ekman, Ph.D. and Wallace V. Friesen, Ph.D., (Paul Ekman, 1978) pp. 1-6
	AH	Recognizing Human Facial Expressions from Long Image Sequences Using Optical Flow by Yaser Yacoob and Larry S. Davis, IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol. 18, No. 6, June 1996, pp. 636-642
	AI	Classifying Facial Actions by Gianluca Donato, Stewart Bartlett, Joseph C. Hager, Paul Ekman and Terrence J. Sejnowski, IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol. 21, No. 10, October 1999, pp. 974-989
	AJ	Recognizing action Units for Facial Expression Analysis by Ying-li Tian, Memer, IEEE, Takeo Kanade, Fellow, IEEE and Jeffrey F. Cohn, Member, IEEE, IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol. 23, No. 2, February 2001, pp. 97-115
	AK	Computer Vision by Dana H. Ballard and Christopher M. Brown, pp. 68-70, pp. 75-80

SCC	AL	Human Face Detection in Visual Scenes by Henry A. Rowley, Shumeet Baluja and Takeo Kanade, November 1995, pp. 1-24	
	AM	Recognizing Faces Showing Expressions by Yaser Yacoob Heung-Man Lam and Larry S. Davis (To appear in International Workshop on Automatic Face-and Gesture-Recognition,	
	AN	Learning Human Face Detection in Cluttered Scenes by Kah-Kay Sung and Tomaso Poggio (to appear in prco. 6th Intl. Conf. CAIP' 95, Prague, Czech Republic, pp. 1-8	
	AO	COLOR INDEXING (Using Color As A Reference Index) by Michael J. Swain, Dana H. Ballard, International Journal of Computer Vision, 7:1, 11-32 (1991), 1991 Kluwer Academic Publishers, Manufactured in The Netherlands,	
	AP	Proceedings of the Fourth International Joint Conference on Pattern Recognition by Motoi Suwa, Noboru Sugie and Keisuke Fujimura, November 7-10, 1973, Kyoto, Japan, Sponsored by the International Association for Pattern Recognition (IAPR): an Affiliate Member of IFIP, pp. 408-411	

Sheela Chandra	DATE CONSIDERED 12/9/04
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.